



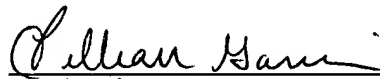
Attorney Docket No. Napro-4

Applicant(s) : Eric B. Kmiec et al.
Title : TARGETED CHROMOSOMAL GENOMIC
ALTERATIONS WITH MODIFIED SINGLE
STRANDED OLIGONUCLEOTIDES
Filed Date : March 27, 2001
Application No. : 09/818,875 Confirmation No.: 2466
Group Art Unit : 1638

Express Mail mailing label number EF230792754US

Date of Deposit August 7, 2001

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.


Lillian Garcia

Enclosures:

- (1) Transmittal Letter (in duplicate)
- (2) Check for \$65.00
- (3) Copy of Notice to File Missing Parts of Nonprovisional Application
- (4) Preliminary Amendment and Response to Notice to File Missing Parts of Nonprovisional Application (in duplicate)
- (5) Statements In Support of Computer Readable Form Submission
- (6) Sequence Listing on two (2) identical compact disks
- (7) 3.5" diskette with CRF of Sequence Listing
- (8) Executed Declaration and Power of Attorney
- (9) Twelve (12) sheets of drawings
- (10) Postcard



Napro-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Eric B. Kmiec et al.
Application No. : 09/818,875 Confirmation No. : 2466
Filed : March 27, 2001
For : TARGETED CHROMOSOMAL GENOMIC ALTERATIONS
WITH MODIFIED SINGLE STRANDED
OLIGONUCLEOTIDES
Group Art Unit : 1638
Examiner : Not yet assigned

New York, New York
August 7, 2001

Hon. Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] a Preliminary Amendment;
[X] a Reply to Office Action; [X] a Sequence Listing filed on
two identical compact discs under 37 C.F.R. § 1.52(e): each
compact disc contains one file named SequenceListingNapro4
which is in IBM-PC format and MS-DOS compatible; the file was
created July 23, 2001 and comprises 930,816 bytes; [X] a 3.5"
diskette with the computer-readable form copy of Sequence
Listing; [X] an executed Declaration and Power of Attorney;
[X] twelve (12) sheets of formal drawings; [X] a check for
\$65.00; to be filed in the above-identified patent
application.

EF230792754US

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	28	-	28	* =	0	X \$ 9 =	\$0.00
INDEPENDENT CLAIMS	1	-	3	** =	0	X \$ 40 =	\$0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM						+ \$135 =	\$
							TOTAL <u>\$0.00</u>

* If less than 20, insert 20.

** If less than 3, insert 3.

☐ A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- [] The following extension is applicable to the Response filed herewith; [] \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$195.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$445.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$695.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136 (a); [] \$945.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).
- [] A check in the amount of [] \$55.00; [] \$195.00; [] \$445.00; [] \$695.00; [] \$945.00; in payment of the extension fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge the [] \$55.00; [] \$195.00; [] \$445.00; [] \$695.00; [] \$945.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



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#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/818,875	03/27/2001	Eric B. Kmiec	Napro-4

1473
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50TH FLOOR
NEW YORK, NY 10020-1105



CONFIRMATION NO. 2466

FORMALITIES LETTER



OC000000006161355

Date Mailed: 06/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
 - o drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- o This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application.

08/09/2001 EABUBAKI 00000048 09818875

01 FC:205

65.00 OP

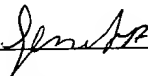
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Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE